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Date: October 29, 2004  
To: United States Patent & Trademark Office  
Fax: (703) 872-9306  
Phone:  
From: R. Burns Israelsen  
Our File: 15436.250.28.1

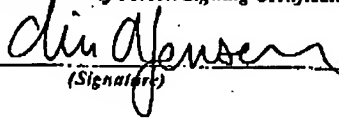
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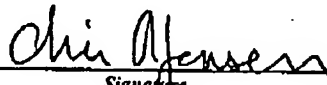
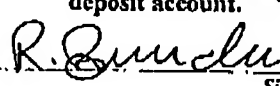
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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b> 15436.250.28.1
Applicant(s): Shah, et al.			
Application No. 10/697,733	Filing Date 10/30/2003	Examiner Unknown	Group Art Unit 2873
Invention: COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR			
<p>I hereby certify that this _____ <b>Information Disclosure Statement</b> _____ (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u>) on <u>October 29</u>, 2004 (Date)</p> <p>Chris A. Jensen (Typed or Printed Name of Person Signing Certificate)  (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.250.28.1	
In Re Application Of:    Shah, et al.						
Application No. 10/697,733	Filing Date 10/30/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2873	Confirmation No. 7719	
Title: <b>COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR</b>						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
<b>37 CFR 1.97(b)</b>						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
<b>37 CFR 1.97(c)</b>						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);						
OR						
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).						

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.250.28.1	
In Re Application: <b>Shah, et al.</b>						
Application No. <b>10/697,733</b>	Filing Date <b>10/30/2003</b>	Examiner <b>Unknown</b>	Customer No. <b>022913</b>	Group Art Unit <b>2873</b>	Confirmation No. <b>7719</b>	
Title: <b>COUNTERBORE BASE MEMBER FOR FERRULE-TYPE OPTICAL CONNECTOR</b>						
<div style="text-align: center;"><b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</div> <div style="margin-top: 10px;"><input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No.    <b>23-3178</b> as described below.<div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div></div> <div style="margin-top: 10px;"><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Transmission by Facsimile*</div><div style="font-size: x-small;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)</div><div style="margin-top: 10px;">10/ <u>29</u> /04 (Date)</div><div style="text-align: center; margin-top: 10px;"> Signature</div><div style="border-top: 1px solid black; padding-top: 5px;">Chris A. Jensen Typed or Printed Name of Person Signing Certificate</div></div><div style="width: 45%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Mailing by First Class Mail</div><div style="font-size: x-small;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</div><div style="text-align: center; margin-top: 10px;">_____ (Date)</div><div style="text-align: center; margin-top: 10px;">_____ Signature of Person Mailing Correspondence</div><div style="border-top: 1px solid black; padding-top: 5px;">_____ Typed or Printed Name of Person Mailing Certificate</div></div></div> <div style="margin-top: 20px;"><div style="display: flex; justify-content: space-between;"><div style="width: 45%;">*This certificate may only be used if paying by deposit account.  Signature <b>R. BURNS ISRAELSEN</b> Attorney for Applicant Registration No. 42,685 Customer No. 022913</div><div style="width: 45%; text-align: right;">Dated:    October <u>27</u>, 2004.</div></div><div style="margin-top: 20px;">cc:</div></div>						

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OCT 29 2004

PATENT APPLICATION  
Docket No: 15436.250.28.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shah, et al.

Serial No.: 10/697,733

Confirmation No.: 7719

Filed: October 30, 2003

For: COUNTERBORE BASE MEMBER FOR  
FERRULE-TYPE OPTICAL CONNECTOR

Examiner: Unknown

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)INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED October 27, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

RRF: caj  
CAJ0000000715V001

Form PTO-1449

Sheet 1 of 2

Applicant: Shah, et al.

Serial No.: 10/697,733

Att'y Docket No.: 15436.250.28.1

Filing Date: October 30, 2003

Group: 2873

For: COUNTERBORE BASE MEMBER FOR  
FERRULE-TYPE OPTICAL CONNECTORINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	6,357,929	03/19/2002	Rochrs, et al.
___ 2	6,485,189	11/26/2002	Gilliland, et al.
___ 3	6,714,366	03/30/2004	Wisccarver, et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
NONE			

Other Documents

(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

NONE

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 2

Applicant: Shah, et al.

Serial No.: 10/697,733

Att'y Docket No.: 15436.250.28.1

Filing Date: October 30, 2003

Group: 2873

For: COUNTERBORE BASE MEMBER FOR  
FERRULE-TYPE OPTICAL CONNECTORReferences Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

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